

State of New Jersey
 Department of the Treasury
 Integrity Oversight Monitoring Reporting Model

Firm Name: **EisnerAmper LLP**

Work Authorization No. 2 Hudson-Bergen Light Rail (HBLR) Repair and Recovery and Locally Prioritized Resilience (NJ-44-X003)

Engagement: **Integrity Oversight Monitoring Contract 14-033B**

For Quarter Ending: **09/30/2017**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Total Project: \$44,904,852	
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	<p>The Hudson-Bergen Light Rail Repair and Recovery and Locally Prioritized Resilience is comprised of the following scope of work:</p> <ul style="list-style-type: none"> - Sandy DMG. 05 HBLR 4 Track & Row: Repair/replacement of damaged track components (rail resurfacing, lubricators, heaters, drainage swales, inlets, culverts and ballast washout and related activities. - Sandy DMG. 05 HBLR 4 Vehicle Base Facility: Replacement of damaged yard switch machines, installation of storage container for critical parts, auxiliary power repairs, and switch heater repairs, purchase of Wheel Truing Machine and installation of VBF, and the purchase of off site wheel truing services. - Sandy DMG. 05 HBLR 4 Signals and Communications: Replacement of mainline switches, impedance bond boxes, repairs at grade crossings, replacement of communication components, installation of Mill Creek CIH and testing and replacement of signal cable. - Sandy DMG. 05 HBLR 4 Traction Power/Station Dist. Power: Testing and replacement of Auxiliary Power Cable, repair Traction Power Cables and associated costs for design, project administration, construction, the purchase of necessary equipment and materials, and other related costs. 	
8.	Contract/Program Location	HBLR Line - Jersey City/Hudson County	
9.	Amount Expended to Date	Total: \$18,116,681.25	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	12/31/2019	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	

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14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Held a meeting with TFC (TFC Project Manager and TFC Compliance Manager) to discuss DBE plans and goals. Held teleconference with TFC (TFC Project Manager and TFC Compliance Manager) to discuss details of TFC invoices selected for testing. Held a teleconference with NJT Office of Business Development to discuss HBLR DBE goals and next steps. Held a meeting with NJT CP&P personnel to discuss EA's request for auxiliary power cable megger testing and lab reports.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received grant documents and NJT Sandy Damage Assessment from CP&P. Received updated TFC Organization Chart. Received megger testing results for the Auxiliary Power Cable.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Review of invoices for a selection of NPCs. Meetings with CP&P to discuss cable replacement. Meetings with TFC and NJT OBD to discuss DBE goals and monitoring.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	EisnerAmper continues its review of a selection of invoices to determine if appropriate approval and supporting documentation has been obtained and maintained.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Continue review of TFC invoices, met with CP&P to gain an understanding of future NPCs, discussed DBE goals and monitoring plan with NJT Office of Business Development, discussed with personnel at TFC what TFC's plans are for meeting DBE goals.	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None noted	
21.	Provide details on any other items of note that have occurred in the past quarter	EisnerAmper received megger testing results. Receipt of lab tests associated with Traction Power Cable and Signal Cable replacement is pending upon NJT receipt of lab analysis.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	

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C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	EisnerAmper received megger testing results. Receipt of lab tests associated with Traction Power Cable and Signal Cable replacement is pending upon NJT receipt of lab analysis. In connection with the request for the FTA Superstorm Sandy Damage Assessment Report, a meeting is to be schedule among EisnerAmper, the FTA and NJT CP&P.	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott Lee
Signature:	
Date:	1/2/2018

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Attachment for Question #23

Professional	Hours
David A. Cace	3.90
Elliott Lee	21.30
Timothy Van Noy	13.25
Nicole Griffin	32.25
Marisol Gonzalez	11.80
Robert Bright	0.50
Ken Brzozowski	4.50
Michael Mitrione	1.70
	<u>89.20</u>